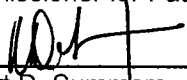


Certificate Under 37 CFR 1.8

I hereby certify that this correspondence is being electronically transmitted to the U.S. Patent and Trademark Office, Commissioner for Patents, via the EFS on September 17, 2009.

  
Robert D. Summers, Jr., Reg. No. 57,844

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: McGiffin et al.

Application No.: 10/783,841

Filed: February 20, 2004

For: ACCOUNT LEVEL  
PARTICIPATION FOR  
UNDERWRITING COMPONENTS

Attorney Docket No: 10022/444

Examiner: Swartz, Jamie H.

Art Unit: 3694

Confirmation No. 7513

**SIXTH SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference:

**COMMONLY-OWNED PATENTS AND APPLICATIONS**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby submit the following information relating to issued patents and applications that are commonly owned and either claim priority to the same filing date or disclose common subject matter.

**A. PATENT APPLICATIONS**

Assignee invite the Examiner to review the pending claims to verify that no double patenting concerns exist and to review prosecution histories of the application as listed below and in the PTO Form 1449 which may include adverse decisions by patent examiners and substantive responses by Assignee.

## OTHER ART

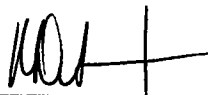
The prosecution history of U.S. Patent Application Serial No. 10/783,478 shown in the attached Patent Application Retrieval file wrapper document list, printed September 17, 2009, including each substantive office action and applicants' response.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of cited reference G1 as is required under 37 C.F.R. §1.98(a)(2). As the listed reference is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that the enclosed reference, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Information Disclosure Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Information Disclosure Statement to a deposit account, as authorized in the Transmittal accompanying this Information Disclosure Statement.

Respectfully submitted,



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Robert D. Summers, Jr. (Reg. No.  
57,844)

September 17, 2009  
Date